

UA LOCAL 467 DEFINED CONTRIBUTION PLAN
Balance Sheet
As of October 31, 2016

	Oct 31, 16
ASSETS	
Current Assets	
Checking/Savings	
1030 · Transit	257,917.27
1070 · Admin Commercial	(7,948.13)
1100 · Benefit Commercial	358,435.74
1118 · Loan Savings	37,064.91
1128 · Loan Commercial	821.90
Total Checking/Savings	646,291.69
Total Current Assets	646,291.69
Fixed Assets	
1630 · Broadridge a4554	62,302,862.32
1631 · Broadridge f4554	358,218.61
Total Fixed Assets	62,661,080.93
Other Assets	
1820 · Loans Receivable	1,492,413.56
1842 · Contributions Receivable	291,449.39
1863 · Due from Other Funds	569.35
1865 · Prepaid Fiduciary Liability Ins	7,796.85
1869 · Prepaid Benefits	18,624.54
Total Other Assets	1,810,853.69
TOTAL ASSETS	65,118,226.31
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Accounts Payable	
2010 · Accounts Payable	3,260.89
Total Accounts Payable	3,260.89
Other Current Liabilities	
2300 · Due to Other Funds	569.35
Total Other Current Liabilities	569.35
Total Current Liabilities	3,830.24
Total Liabilities	3,830.24
Equity	
2740 · Beginning Balance, Jan 1st	61,909,563.19
Net Income	3,204,832.88
Total Equity	65,114,396.07

UA LOCAL 467 DEFINED CONTRIBUTION PLAN

Profit & Loss

October 2016

	Oct 16	Jan - Oct 16
Income		
3020 · Contributions	206,963.50	1,924,033.20
3041 · Contributions - Reciprocity	59,029.77	312,311.83
3042 · Reciprocity - Outgoing	(11,006.50)	(38,829.70)
3045 · Contributions - 401K	297,587.75	2,599,259.00
3310 · Interest - Investments	0.00	35,353.94
3320 · Interest - Bridge Bank	47.61	273.43
3345 · Interest - Loans	3,470.93	16,984.71
3346 · Investment - 12b1 Income	7,681.76	102,999.47
3350 · Dividend Income	0.00	165,592.55
3430 · Realized Gain - Investments	0.00	(137,479.46)
3432 · Unrealized Gain - Investments	(812,403.85)	1,259,020.69
3616 · Loan Application Fee Income	125.00	2,500.00
3618 · Late Charges - Loans	441.08	2,481.08
3893 · Fund Fee Recoveries	0.00	56,782.40
Total Income	(248,062.95)	6,301,283.14
Expense		
3100 · Outgoing Reciprocity	1,981.00	9,593.00
5160 · Net Benefits	605,640.23	2,674,257.65
5200 · Tax Withholding	42,746.45	195,170.47
5260 · Loan Processing Fee	1,125.00	14,965.00
6060 · Administration - #467	396.67	3,966.70
6080 · Administration - UAS	500.00	5,000.00
6085 · Administration - Reciprocity	49.50	495.00
6120 · Audit	43.21	11,684.21
6240 · Insurance - Fiduciary Liability	1,559.38	15,327.67
6261 · Money Mgmt. Fee-New Cent. Part.	15,739.00	60,092.00
6270 · Recordkeeping Fee - Kaufmann	0.00	36,904.11
6296 · Corp.Co-Trustee - Wilmington	3,634.16	30,035.10
6297 · Corp.Co-Trustee - Broadridge	0.00	24,408.01
6345 · Legal - Neyhart	168.75	5,962.92
6365 · Loan Application Fee	100.00	1,600.00
6370 · Credit Check Fee - Equifax	125.13	1,252.86
6480 · Postage	56.67	447.80
6500 · Printing	39.10	5,278.83
6650 · Telephone	0.87	8.93
Total Expense	673,905.12	3,096,450.26
Net Income	(921,968.07)	3,204,832.88

U.A. LOCAL 467 Pension Trust Fund
Broadridge, Part B
Investment Recap as of 10/31/2016

	COST	MARKET
Common Stock	\$ 54,137,318.18	\$ 62,302,862.32
Corporate Bonds	0.00	0.00
Short-Term Investments	358,218.61	358,218.61
TOTAL INVESTMENTS	\$ 54,495,536.79	\$ 62,661,080.93

Total Investments @ Cost		\$ 54,495,536.79
Unrealized Gain		8,165,544.14
TOTAL INVESTMENTS @ MARKET		\$ 62,661,080.93

Ending Market Value Last Month		\$ 63,313,442.53
Transfer from Transit Account		0.00
Transfer to/from Benefit Ckg		0.00
Transfer to/from Loan Cog		0.00
Transfer to/from Admin. Ckg		0.00
Transfer to/from Loan Savings		0.00
Interest & Dividend Income (net of Accrual)		3,391.40
Investment - 12b1 Income		163,647.03
Cost Adjustments - Net Century Partners		0.00
Recordkeeping Fee - Kaufmann & Goble		0.00
Broadridge Fees/Trustee Fees		(3,634.16)
Loan Fees - 467 Apprentice Trade Loan		0.00
Realized Gains (Loss)		0.00
Accrued Interest		4.74
Total Unrealized Gains to Date Last Month	8,981,314.75	
Total Unrealized Gains to Date This Month	<u>8,165,544.14</u>	
Net Change in Difference between Cost & Market Value		(815,770.61)
TOTAL INVESTMENTS @ MARKET		\$ 62,661,080.93